



# Universal Forwarding Overseas, Ltd. Newsletter

October 1, 2014

Established 1978

## NEW AES FILING REGULATION – EFFECTIVE OCTOBER 2, 2014

The Bureau of Census has published several amendments to the Foreign Trade Regulations (FTR) which impact all U.S. exporters. Changes have been made in regards to reporting of Electronic Export Information – UFO files this information on your behalf through the Automated Export System (AES) process. These changes were in effect for all shipments leaving the U.S. on or after April 5, 2014, however compliance was not enforced. Effective Thursday, October 2, compliance will be enforced.

The major change to the process is the inclusion of the Ultimate Consignee Type for all export shipments. The U.S. exporter must classify the ultimate consignee as one of the following:

**Ultimate Consignee Type:** Provide the business function of the ultimate consignee that most often applies. If more than one type applies to the ultimate consignee, report the type that applies most often.

- **Direct Consumer:** A non-government institution, enterprise, or company that will consume or use the exported good as a consumable, for its own internal processes, as an input to the production of another good or as machinery or equipment that is part of a manufacturing process or a provision of services and will not resell or distribute the good.
- **Reseller:** A non-government reseller, retailer, wholesaler, distributor, distribution center or trading company.
- **Government Entity:** A government-owned or government-controlled agency, institution, enterprise, or company.
- **Other/Unknown:** An entity that is not a Direct Consumer, Government Entity or Reseller, or whose ultimate consignee type is not known at the time of export.

UFO is working hard to ensure all shipment will meet the new FTR regulations. UFO representatives will be contacting your company for any missing information on export shipments as UFO is unable to classify the Ultimate Consignee on your behalf. If the information is not provided, AES cannot be filed and your ITN information will not be available to the steamship line before their documentation cutoff. The ramifications of missing the documentation cutoff include rolled bookings, roll fees, and port demurrage. These expenses will be for the account of the shipper.

As always, please contact Marc Mugfor (mmugfor@ufold.com) if you have any questions or concerns.

Additional information is also available at <http://www.census.gov/foreign-trade/regulations/regs/regulations20130603-federalregister.pdf>.

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